

**BEFORE THE  
MEDICAL BOARD OF CALIFORNIA  
DEPARTMENT OF CONSUMER AFFAIRS  
STATE OF CALIFORNIA**

In the Matter of the Accusation Against: )

**JAMES I. HONDA, M.D.** )

Physician's and Surgeon's )  
Certificate No. A 21748 )

Respondent. )  
\_\_\_\_\_ )

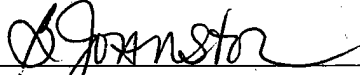
File No.: 17-2001-126714

**DECISION**

The attached Stipulation for Surrender of License is hereby adopted by the Medical Board of California, Department of Consumer Affairs, State of California as its Decision in the above entitled matter.

This Decision shall become effective at 5:00 p.m. on March 13, 2008.

**IT IS SO ORDERED** March 6, 2008.

  
\_\_\_\_\_  
Barbara Johnston  
Executive Director

1 EDMUND G. BROWN JR., Attorney General  
of the State of California  
2 JOSE GUERRERO, Supervising  
Deputy Attorney General  
3 DAVID CARR, State Bar No. 131672  
Deputy Attorney General  
4 California Department of Justice  
455 Golden Gate Ave, Suite 11000  
5 San Francisco, California 94102-7004  
Telephone: (415) 703-5538  
6 Facsimile: (415) 703-5480

7 Attorneys for Complainant

8  
9 **BEFORE THE**  
**MEDICAL BOARD OF CALIFORNIA**  
10 **DEPARTMENT OF CONSUMER AFFAIRS**  
11 **STATE OF CALIFORNIA**

12 In the Matter of the Accusation Against:

Case No. 17 2001 126714

13 James I. Honda, M.D.  
1321 N. Harbor Blvd., Suite 202  
14 Fullerton, CA 92835

**STIPULATION FOR SURRENDER  
OF LICENSE**

15 Physician's and Surgeon's Certificate No. A 21748,  
16  
17 Respondent.

18  
19 IT IS HEREBY STIPULATED AND AGREED by and between the parties to the  
20 above-entitled proceedings, that the following matters are true:

21 1. Complainant David T. Thornton brought this action solely in his official  
22 capacity as the Executive Director of the Medical Board of California ("Medical Board" or  
23 "Board"). David T. Thornton is represented in this matter by Edmund G. Brown Jr, Attorney  
24 General of the State of California, through David Carr, Deputy Attorney General.

25 2. Respondent James I Honda, M.D. ("respondent") is represented in this  
26 matter by Davis, Grass, Goldstein & Housouer, through Stacy K. Brigham, Esq.

27 3. On or about August 6, 1965, the Medical Board issued Physician's and  
28 Surgeon's Certificate Number A 21748 to James I. Honda, M.D. This certificate, unless

1 renewed, will expire on September 30, 2008.

2                   4.       A Second Amended Accusation in Case No. was filed on August 31, 2006  
3 before the Division of Medical Quality ("division"), Medical Board of California, Department of  
4 Consumer Affairs. A copy of the Accusation is attached as Exhibit A and incorporated by  
5 reference in this stipulation.

6                   5.       Respondent has reviewed this agreement with his attorneys and  
7 understands the nature of the charges and allegations in the Accusation and the effects of this  
8 Stipulation for Surrender of License.

9                   6.       Respondent is fully aware of his legal rights in this matter, including the  
10 right to a hearing to contest the charges and allegations in the Accusation; the right to be  
11 represented by counsel, at his own expense; the right to confront and cross-examine the witnesses  
12 against him; the right to present evidence and to testify on his own behalf; the right to the  
13 issuance of subpoenas to compel the attendance of witnesses and the production of documents;  
14 and the right to reconsideration and court review of an adverse decision.

15                  7.       For purposes of this stipulation, respondent voluntarily, knowingly, and  
16 intelligently waives and gives up each of the rights set forth above.

17                  8.       Respondent understands the nature of the charges alleged in the  
18 Accusation and that, if proven at hearing, such charges and allegations would constitute cause for  
19 imposing discipline upon his physician's and surgeon's certificate.

20                  9.       For the purpose of resolving Case No. 17 2001 126714 without the  
21 expense and uncertainty of further proceedings, respondent gives up his right, as set forth in  
22 paragraph 6, above, to contest that cause for discipline exists based on the charges in the  
23 Accusation and admits that if the matter were to proceed to hearing the Board could prove to a  
24 clear and convincing degree that cause for discipline exists in this case. Respondent agrees to  
25 surrender his physician's and surgeon's certificate for the division's formal acceptance.

26                  10.       All admissions and recitals contained in this stipulation are made solely  
27 for the purpose of settlement in this proceeding and for any other proceedings in which the  
28 Division of Medical Quality, Medical Board of California or other professional licensing agency

1 is involved, and shall not be admissible in any other criminal or civil proceedings.

2           11.     Respondent understands that by signing this stipulation he is enabling the  
3 Division of Medical Quality to issue its order accepting the surrender of his license without  
4 further process. He understands and agrees that Medical Board's staff and counsel for  
5 complainant may communicate directly with the division regarding this stipulation without notice  
6 to or participation by respondent or his counsel. If the division fails to adopt this stipulation as  
7 its Order, the Stipulation for Surrender of License, except for this paragraph, shall be of no force  
8 or effect. The Stipulation for Surrender of License shall be inadmissible in any legal action  
9 between the parties and the division shall not be disqualified from further action by having  
10 considered this matter.

11           12.     Upon acceptance of the stipulation by the division, respondent understands  
12 that he will no longer be permitted to practice as a physician in California.

13           13.     Respondent fully understands and agrees that if he ever files an application  
14 for relicensure or reinstatement in the State of California, the division shall treat it as a petition  
15 for reinstatement, that respondent must comply with all the laws, regulations and procedures for  
16 reinstatement of a revoked license in effect at the time the petition is filed, and all of the  
17 allegations and Causes for Discipline contained in the Second Amended Accusation in Case  
18 No.17 2001 126714 will be deemed to be true, correct and admitted by respondent when the  
19 division determines whether to grant or deny the petition. Respondent agrees that he will not  
20 petition for reinstatement for at least three years following the effective date of this decision.  
21 Respondent hereby waives any time-based defense he might otherwise have to the charges  
22 contained in the Accusation in Case No. 17 2001 126714 including, but not limited to, the  
23 equitable defense of laches.

24           14.     The parties agree that facsimile copies of this Stipulation for Surrender of  
25 License, including facsimile signatures on it, shall have the same force and effect as the original  
26 Stipulation for Surrender of License.

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1  
2 ACCEPTANCE

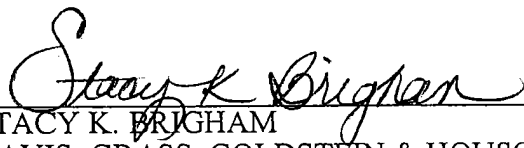
3 I, James I. Honda, M.D., have carefully read the above stipulation and enter into it  
4 freely and voluntarily and, with full knowledge of its force and effect, do hereby agree to  
5 surrender my physician's and surgeon's certificate, No. A 21748, to the Division of Medical  
6 Quality, Medical Board of California for its formal acceptance. By signing this stipulation to  
7 surrender my license, I recognize that I will lose all rights and privileges to practice as a  
8 physician and surgeon in the State of California.  
9

10 DATED: 12 Jan 2008

11  
12   
13 JAMES I. HONDA, M.D.  
14 Respondent

15 I have read and fully discussed with respondent James I. Honda, M.D. the terms  
16 and conditions and other matters contained in the above Stipulation for Surrender of License. I  
17 approve the form of this Stipulation.  
18

19 DATED: 23 Jan 2008

20  
21   
22 STACY K. BRIGHAM  
23 DAVIS, GRASS, GOLDSTEIN & HOUSOUER  
24 Attorneys for Respondent

25 ENDORSEMENT

26 The foregoing Stipulated Settlement and Disciplinary Order is respectfully  
27 submitted for consideration by the Division of Medical Quality, Medical Board of California,  
28 ///

1 Department of Consumer Affairs.

2 DATED: 2/13/08.

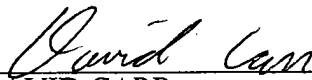
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EDMUND G. BROWN JR., Attorney General  
of the State of California

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DAVID CARR  
Deputy Attorney General

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Attorneys for Complainant

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**Exhibit A:**

**Second Amended Accusation; Case No. 04 2005 164544**

1 BILL LOCKYER, Attorney General  
of the State of California  
2 JOSE GUERRERO,  
Supervising Deputy Attorney General  
3 DAVID CARR, State Bar No. 131672  
Deputy Attorney General  
4 California Department of Justice  
455 Golden Gate Ave, Suite 11000  
5 San Francisco, CA 94102  
Telephone: (415) 703-5538  
6 Facsimile: (415) 703-5480

7 Attorneys for Complainant

8 **BEFORE THE**  
9 **DIVISION OF MEDICAL QUALITY**  
10 **MEDICAL BOARD OF CALIFORNIA**  
11 **DEPARTMENT OF CONSUMER AFFAIRS**  
12 **STATE OF CALIFORNIA**

13 In the Matter of the Accusation Against:

14 **JAMES I. HONDA, M. D.**  
15 1321 North Harbor Boulevard, Suite 202  
Fullerton, CA 92835

16 Physician's and Surgeon's Certificate  
No. A 21748

Respondent.

Case No. 17-2001-126714

OAH No. L 2002 120496

**SECOND AMENDED  
ACCUSATION**

18 Complainant alleges:

19 **PARTIES**

20 1. David T. Thornton (Complainant) brings this Accusation solely in his  
21 official capacity as the Executive Director of the Medical Board of California, Department of  
22 Consumer Affairs (Board).

23 2. On or about August 6, 1965, the Medical Board of California issued  
24 Physician's and Surgeon's Certificate No. A 21748 to James I. Honda, M.D. (Respondent). The  
25 Certificate was in full force and effect at all times relevant to the allegations of this Accusation  
26 and will expire on September 30, 2008, unless renewed.

27 **JURISDICTION**

28 3. This Second Amended Accusation is brought before the Board's Division

**FILED**  
**STATE OF CALIFORNIA**  
**MEDICAL BOARD OF CALIFORNIA**  
**SACRAMENTO** August 31, 2006  
**BY** Valerie Moore **ANALYST**



1 of Medical Quality, under the authority of the following laws.<sup>1</sup>

2           4.       Section 2227 of the Code provides that a licensee who is found guilty  
3 under the Medical Practice Act may have his or her license revoked, suspended for a period not  
4 to exceed one year, placed on probation and required to pay the costs of probation monitoring, or  
5 such other action taken in relation to discipline as the Division deems proper.

6           5.       Section 2234 of the Code states:

7               "The Division of Medical Quality shall take action against any licensee who is  
8 charged with unprofessional conduct. In addition to other provisions of this article,  
9 unprofessional conduct includes, but is not limited to, the following:

10               "(a) Violating or attempting to violate, directly or indirectly, assisting in or  
11 abetting the violation of, or conspiring to violate any provision of this chapter [Chapter 5,  
12 the Medical Practice Act].

13               "(b) Gross negligence.

14               "(c) Repeated negligent acts. To be repeated, there must be two or more  
15 negligent acts or omissions. An initial negligent act or omission followed by a separate  
16 and distinct departure from the applicable standard of care shall constitute repeated  
17 negligent acts.

18               "(1) An initial negligent diagnosis followed by an act or omission medically  
19 appropriate for that negligent diagnosis of the patient shall constitute a single negligent  
20 act.

21               "(2) When the standard of care requires a change in the diagnosis, act, or  
22 omission that constitutes the negligent act described in paragraph (1), including, but not  
23 limited to, a reevaluation of the diagnosis or a change in treatment, and the licensee's  
24 conduct departs from the applicable standard of care, each departure constitutes a separate  
25 and distinct breach of the standard of care.

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26  
27  
28       1. All section references are to the Business and Professions Code unless otherwise indicated.

1           "(d) Incompetence.

2           "(e) The commission of any act involving dishonesty or corruption which is  
3 substantially related to the qualifications, functions, or duties of a physician and surgeon.

4           "(f) Any action or conduct which would have warranted the denial of a  
5 certificate."

6           6.       Section 2262 of the Code states:

7           "Altering or modifying the medical record of any person, with fraudulent intent,  
8 or creating any false medical record, with fraudulent intent, constitutes unprofessional  
9 conduct.

10          "In addition to any other disciplinary action, the Division of Medical Quality or  
11 the California Board of Podiatric Medicine may impose a civil penalty of five hundred  
12 dollars (\$500) for a violation of this section."

13          7.       Section 2266 of the Code states:

14          "The failure of a physician and surgeon to maintain adequate and accurate records  
15 relating to the provision of services to their patients constitutes unprofessional conduct."

16          8.       Pursuant to section 3502 of the Code, medical services performed by a  
17 physician assistant must be performed "under the supervision of a licensed physician and  
18 surgeon. . ." Section 3501, subdivision (f), of the Code provides that "[s]upervision' means that  
19 a licensed physician and surgeon oversees the activities of, and accepts responsibility for, the  
20 medical services rendered by a physician assistant."

21          9.       Title 16, California Code of Regulations, section 1399.545(g) provides  
22 that a supervising physician has continuing responsibility to follow the progress of a patient  
23 treated by a physician assistant whom the physician is supervising, and further provides that the  
24 supervising physician is responsible for all medical services provided by a physician assistant  
25 under his or her supervision.

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27 ///

28 ///

1 **FIRST CAUSE FOR DISCIPLINE**

2 **(Repeated Negligent Acts)**

3 10. Respondent is subject to disciplinary action under section 2234,  
4 subdivision (c), of the Code in that he was repeatedly negligent in his care and treatment of  
5 patients Walter B., Thomas C., Timothy D., Darrell H., Rodney H., Raymond H., Miria L., and  
6 Yvette P.<sup>2</sup>

7 11. The negligent care and treatment of the patients listed in paragraph 10  
8 above was rendered by Physician's Assistant Joycelyn Gordon ("Gordon") and/or Respondent at  
9 the Mercy Family Medical Center ("MFMC") located at 5763 Pico Boulevard in the City of Los  
10 Angeles. Gordon was supervised by Respondent, and Gordon's negligence is therefore imputed  
11 to Respondent pursuant to section 3502, subdivision (f), of the Code; and Title 16, California  
12 Code of Regulations, section 1399.656(g).

13 12. On or about August 6, 2001, the California Department of Health  
14 Services conducted an unannounced office visit to MFMC. Thereafter, the Department of Health  
15 Services received from MFMC the patient charts for the patients listed in paragraph 11 above.  
16 These records will be referred to hereinafter as the DHS records.

17 **Walter B.**

18 13. Patient W.B. was first seen by Gordon on July 6, 2001. One of the  
19 diagnoses made by Gordon on this occasion was "chest pain, rule out angina/tachycardia." There  
20 is no notation in the patient record as to the history of the chest pain. Gordon did not order a  
21 treadmill stress test. Gordon's evaluation of Walter B.'s possible angina constituted a departure  
22 from the standard of care.

23 14. On July 6, 2001, Gordon ordered blood tests for Walter B. The blood  
24 test results indicated that Walter B. was anemic. Thereafter, further evaluation of Walter B.'s  
25 anemia should have been conducted, and should have included blood testing for iron deficiency,  
26

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27 2. The full names of the patients will be disclosed to Respondent upon a timely request for  
28 discovery.

1 folate deficiency, Vitamin B12 deficiency, and possible occult blood loss. Gordon's failure to do  
2 these follow-up tests constituted a departure from the standard of care.

3 15. Gordon ordered spirometry for Walter B. on or about July 6, 2001. The  
4 spirometry results were reviewed by Gordon on or about July 9, 2001. On or about March 8,  
5 2002, the Medical Board of California received a copy of Walter B.'s medical record from  
6 Respondent. Included in the record was a copy of the spirometry results. On that document the  
7 words "treat [with] albuterol" are handwritten. This entry was made by Respondent, at  
8 Respondent's direction, or under Respondent's supervision. This entry does not appear in the  
9 DHS records. The addition of this entry to Walter B.'s medical record without any notation that  
10 it was a late entry constituted a departure from the standard of care.

11 Thomas C.

12 16. Patient Thomas C. was first seen by Gordon on or about July 16, 2001.  
13 Thomas C. reported that he had smoked one-half pack of cigarettes per day for 30 years, and that  
14 he had had asthma for the past 10 years. Gordon's examination of Thomas C.'s lungs revealed  
15 bilateral rales and rhonchi. Gordon ordered spirometry for the patient, but did not order a chest  
16 X-ray. Failure to order a chest X-ray constituted a departure from the standard of care.

17 Timothy D.

18 17. Patient Timothy D. was first seen by Gordon on or about July 9, 2001.  
19 Timothy D. reported that he had smoked one pack of cigarettes per day for 30 years, and suffered  
20 from chronic cough and shortness of breath. Gordon failed to order a chest x-ray for Timothy D.  
21 This failure constituted a departure from the standard of care.

22 18. Spirometry ordered by Gordon on July 9, 2001, indicated that there was  
23 improvement post bronchodilator. Nevertheless, Gordon failed to order a bronchodilator for  
24 Timothy D. This failure constituted a departure from the standard of care.

25 19. On July 9, 2001, Gordon noted that Timothy D. had a heart murmur, and  
26 ordered an echocardiogram. The echocardiogram revealed abnormalities. There is no indication  
27 that Respondent reviewed the echocardiogram. There is no documentation that Respondent or  
28 Gordon considered further evaluation or treatment of the cardiac abnormalities. These omissions

1 constituted a departure from the standard of care.

2           20.       On or about March 8, 2002, the Medical Board of California received a  
3 copy of Timothy D.'s medical record from Respondent. Included in the record was a copy of the  
4 spirometry results. On that document the word "Albuterol" is handwritten. This entry does not  
5 appear in the DHS records. This entry was made by Respondent, at Respondent's direction, or  
6 under Respondent's supervision. The addition of this entry to Timothy D.'s medical record  
7 without any notation that it was a late entry constituted a departure from the standard of care.

8                           Darrell H.

9           21.       Patient Darrell H. was first seen by Gordon on or about July 10, 2001.

10           22.       On or about March 8, 2002, the Medical Board of California received a  
11 copy of Darrell H.'s medical record from Respondent. Included in the record was a copy of the  
12 results of spirometry performed on Darrell H. on or about July 10, 2001. On that document the  
13 word "severe" is handwritten next to the words "moderate chest restriction." This entry  
14 ("severe") was made by Respondent, at Respondent's direction, or under Respondent's  
15 supervision. This entry does not appear in the DHS records. The addition of this entry to Darrell  
16 H.'s medical record without any notation that it was a late entry constituted a departure from the  
17 standard of care.

18                           Rodney H.

19           23.       Patient Rodney H. was first seen by Gordon on or about July 17, 2001.  
20 Rodney H. reported that he had substernal chest pain brought on by exertion. The pain was  
21 described as a sudden tightness lasting 2 or 3 minutes that was relieved by rest after a few  
22 moments. Rodney H. reported a history of hypertension. A heart murmur was found on physical  
23 examination. Gordon's assessment included "rule out angina." Gordon ordered an EKG, which  
24 was performed on or about July 17, 2001, and yielded "borderline normal" results. Further  
25 evaluation of the Rodney H.'s cardiac condition, such as a treadmill stress test or referral to a  
26 cardiologist, should have been done, but was not done. The failure to perform further evaluation  
27 of Rodney H.'s cardiac condition constituted a departure from the standard of care.

28           24.       On or about July 17, 2001, Gordon ordered HIV and hepatitis blood tests

1 for Rodney H. These tests yielded positive results for HIV and hepatitis C. The HIV positive  
2 test results bear the handwritten notation "notify patient ASAP," and an indication that a "return  
3 to clinic" letter was mailed. However, the patient records do not indicate that Rodney H. was in  
4 fact notified of the HIV and hepatitis C positive results. Nor do the patient records indicate that  
5 Rodney H. was advised as to the need for further evaluation and therapy. The failure to notify  
6 Rodney H. of the HIV and hepatitis C positive test results and the failure to advise him as to the  
7 need for further evaluation and therapy constituted a departure from the standard of care.

8 Raymond H.

9 25. Patient Raymond H. was first seen by Gordon on or about July 19, 2001.  
10 Gordon's assessment of Raymond H. was, in part, "rule out angina." Gordon did not order a  
11 treadmill stress test, and did not refer Raymond H. to a cardiologist. The evaluation of possible  
12 angina in Raymond H. constituted a departure from the standard of care.

13 26. Gordon's assessment on July 19, 2001, also included "suspect GERD  
14 [gastroesophageal reflux disease]." On December 14, 2001, Gordon again saw Raymond H. At  
15 this time Gordon suggested triple antibody therapy to Raymond H. and continued the patient on  
16 Mylanta. The evaluation of GERD in a patient is based mainly on the patient's history. If the  
17 history is consistent with GERD, there are various courses of action that may be taken, including  
18 a therapeutic trial of medicine, a barium swallow to evaluate for reflux or ulcers, or a referral to a  
19 gastroenterologist. Gordon took none of these courses of action. The evaluation and treatment  
20 of Raymond's suspected GERD constituted a departure from the standard of care.

21 27. On July 19, 2001, Raymond H. reported that he smoked one pack of  
22 cigarettes per day, and experienced a chronic cough, shortness of breath, wheezing, and difficulty  
23 breathing. Physical examination revealed a heart murmur. Gordon's assessment was, in part,  
24 "rule out COPD." Gordon ordered spirometry, but did not order a chest X-ray. Failure to order a  
25 chest X-ray constituted a departure from the standard of care.

26 Miria L.

27 28. Patient Miria L. was first seen by Gordon on or about July 19, 2001. The  
28 patient reported that she had smoked one pack of cigarettes per day for the last 13 years. She

1 complained of chest pressure or pain. A cardiac murmur was noted on physical examination.  
2 Hypertension was documented. Gordon's assessment included "rule out angina." Gordon  
3 ordered an EKG, which yielded an abnormal result. There is a notation on the EKG: "Refer for  
4 stress test." An echocardiogram done on July 19, 2001, yielded abnormal results. The  
5 echocardiogram report bears the handwritten notation: "Refer to cardiology." However, there is  
6 no documentation that a stress test or referral to cardiology were actually accomplished. The  
7 management of Patient Miria L.'s possible angina constituted a departure from the standard of  
8 care.

9           29.       Gordon's assessment on July 19, 2001, included "suspect GERD."  
10 Gordon failed to order a therapeutic trial of medicine, a barium swallow to evaluate for reflux or  
11 ulcers, or a referral to a gastroenterologist. The evaluation and treatment of Miria L.'s suspected  
12 GERD constituted a departure from the standard of care.

13                               Yvette P.

14           30.       Patient Yvette P. was first seen by Gordon on or about July 3, 2001. The  
15 35-year-old patient indicated that she had smoked for 15 years, and had had asthma for 10 years.  
16 She complained of chronic cough, shortness of breath, and wheezing. Gordon ordered  
17 spirometry on July 3, 2001, which showed marked improvement post-bronchodilator. However,  
18 Gordon did not order a chest X-ray. The failure to order a chest X-ray constituted a departure  
19 from the standard of care.

20           31.       On July 3, 2001, Yvette P. complained joint pain in legs, hand and lower  
21 back. Gordon ordered blood tests, which yielded a positive rheumatoid factor and an elevated  
22 sedimentation rate. There is no indication in the patient's record that she was notified of these  
23 results, or that she was referred to a rheumatologist. The failure to notify Yvette P. of the  
24 possibility of rheumatoid arthritis, and the failure to refer her for further evaluation and therapy,  
25 constituted a departure from the standard of care.

26           32.       On July 3, 2001, Yvette P. indicated that she was taking Lotrel,  
27 apparently for high blood pressure. The blood tests administered on July 3, 2001, indicated an  
28 elevated blood urea nitrogen (BUN) level. Lotrel contains benazepril, which can elevate BUN.

1 Yvette P. should have been advised to alter her intake of Lotrel, in light of the BUN results. The  
2 failure to so advise Yvette P. constituted a departure from the standard of care.

3 33. The blood tests administered on July 3, 2001, indicated that Yvette P.  
4 was anemic. Although there is a handwritten notation stating "anemia profile" on a laboratory  
5 report, there is no indication in the medical record that an anemia profile was in fact ordered.  
6 Nor is there any indication in Yvette P.'s record that the patient was notified of her anemia. The  
7 failure to perform further evaluation of Yvette P.'s anemia, and to provide therapy for the  
8 anemia, constituted a departure from the standard of care.

## 10 **SECOND CAUSE FOR DISCIPLINE**

### 11 **(Gross Negligence—Walter B.)**

12 34. Respondent is subject to disciplinary action under section 2234,  
13 subdivision (b), of the Code in that he was grossly negligent in his care and treatment of Patient  
14 Walter B. The circumstances are as follows.

15 35. The allegations contained in paragraphs 12-15 and 17 above are re-  
16 alleged at this point.

17 36. The addition of the words "treat [with] albuterol" to Walter B.'s medical  
18 record without any notation that it was a late entry constituted an extreme departure from the  
19 standard of care.

## 20 **THIRD CAUSE FOR DISCIPLINE**

### 21 **(Gross Negligence—Thomas C.)**

22 37. Respondent is subject to disciplinary action under section 2234,  
23 subdivision (b), of the Code in that he was grossly negligent in his care and treatment of patient  
24 Thomas C. The circumstances are as follows.

25 38. The allegations contained in paragraph 12-14, and 18 above are re-  
26 alleged at this point.

27 39. Failure to order a chest X-ray of Thomas C. constituted an extreme  
28 departure from the standard of care.



1 **FOURTH CAUSE FOR DISCIPLINE**

2 **(Gross Negligence–Timothy D.)**

3 40. Respondent is subject to disciplinary action under section 2234,  
4 subdivision (b), of the Code in that he was grossly negligent in his care and treatment of Patient  
5 Timothy D. The circumstances are as follows.

6 41. The allegations contained in paragraph 12-14 and 19 above are re-alleged  
7 at this point.

8 42. The failure to order a chest X-ray of Timothy D. constituted an extreme  
9 departure from the standard of care.

10 **FIFTH CAUSE FOR DISCIPLINE**

11 **(Gross Negligence–Timothy D.)**

12 43. Respondent is subject to disciplinary action under section 2234,  
13 subdivision (b), of the Code in that he was grossly negligent in his care and treatment of Patient  
14 Timothy D. The circumstances are as follows.

15 44. The allegations contained in paragraphs 12-14, 19, 20, and 22 above are  
16 re-alleged at this point.

17 45. The addition of the word “Albuterol” to Timothy D.’s medical record  
18 without any notation that it was a late entry constituted an extreme departure from the standard of  
19 care.

20 **SIXTH CAUSE FOR DISCIPLINE**

21 **(Gross Negligence–Darrell H.)**

22 46. Respondent is subject to disciplinary action under section 2234,  
23 subdivision (b), of the Code in that he was grossly negligent in his care and treatment of Patient  
24 Darrell H. The circumstances are as follows.

25 47. The allegations contained in paragraphs 12-14, 23 and 24 above are re-  
26 alleged at this point.

27 48. The addition of the word “severe” to Darrell H.’s medical record without  
28 any notation that it was a late entry constituted an extreme departure from the standard of care.

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1 **TENTH CAUSE FOR DISCIPLINE**

2 **(Gross Negligence—Miria L.)**

3 58. Respondent is subject to disciplinary action under section 2234,  
4 subdivision (b), of the Code in that he was grossly negligent in his care and treatment of Patient  
5 Miria L. The circumstances are as follows.

6 59. The allegations contained in paragraphs 12-14 and 30 above are re-  
7 alleged at this point.

8 60. The management of Miria L.'s possible angina constituted an extreme  
9 departure from the standard of care.

10 **ELEVENTH CAUSE FOR DISCIPLINE**

11 **(Gross Negligence—Yvette P.)**

12 61. Respondent is subject to disciplinary action under section 2234,  
13 subdivision (b), of the Code in that he was grossly negligent in his care and treatment of Patient  
14 Yvette P. The circumstances are as follows.

15 62. The allegations contained in paragraphs 12-14 and 32-33 above are re-  
16 alleged at this point.

17 63. The failure to notify Yvette P. of the possibility of rheumatoid arthritis,  
18 and the failure to refer her for further evaluation and therapy, constituted an extreme departure  
19 from the standard of care.

20 **TWELFTH CAUSE FOR DISCIPLINE**

21 **(Gross Negligence—Yvette P.)**

22 64. Respondent is subject to disciplinary action under section 2234,  
23 subdivision (b), of the Code in that he was grossly negligent in his care and treatment of Patient  
24 Yvette P. The circumstances are as follows.

25 65. The allegations contained in paragraphs 12-14, 32, and 34 above are re-  
26 alleged at this point.

27 66. In light of the BUN results Yvette P. should have been advised to alter  
28 her intake of Lotrel. The failure to so advise Yvette P. constituted an extreme departure from the

1 standard of care.

2 **THIRTEENTH CAUSE FOR DISCIPLINE**

3 **(Repeated Negligent Acts - Ashley S.)**

4 67. Respondent is subject to disciplinary action under section 2234,  
5 subdivision (c) of the Code in that he committed repeated negligent acts in the care and treatment  
6 of patient Ashley S. The circumstances are as follows:

7 68. On or about November 16, 1995, patient Ashley S. was born. The patient  
8 was born with Erb Palsy.<sup>3</sup> Respondent was the patient's pediatrician shortly after the patient's  
9 birth until June 3, 1997. No further documentation of the patient's Erb Palsy was noted.

10 69. Patient Ashley S. was first seen by respondent on or about November 27,  
11 1995. Respondent did not document the side of the palsy and failed to document parental  
12 information and family history.

13 70. The patient was subsequently seen by respondent for "well child" visits on  
14 eight occasions; at 11 days of life, 2 months, 4 months, 6 months, 9 months, 12 months, 15  
15 months and 18 months. The "well-child" visits are represented in the medical record by a  
16 stamped standard exam which included a pediatric examination of the extremities. With the  
17 exception at 18 months, the examinations were noted as normal. No documentation of the  
18 patient's hips are recorded. No abnormal findings of the hips or extremities were documented.

19 71. Patient Ashley S. was also seen by respondent on or about twelve other  
20 occasions between November 1995 and June 3, 1997, for "sick" visits of varying complaints.  
21 No abnormal findings at the level of the hips or the lower extremities were documented.

22 72. The patient began to walk at 14 months of age. The patient's mother  
23 reported observing an abnormal gait to respondent on or about March 26, 1997. Respondent  
24 failed to record the patient's mother's observation in the patient's record. Respondent also did  
25 not note any abnormalities with the patient's extremities.

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3. Erb's Palsy: paralysis of the arm resulting from injury to the brachial plexus (usually during childbirth)

1                   73.     On May 4, 1997, the patient presented to the emergency room at St. Jude's  
2 Medical Center. The patient's mother gave a history of unsteady gait and possible antormality  
3 of the patient's lower legs since near birth. It was noted that "[s]he has brought this to the  
4 attention of the pediatrician in the past." The physical examination revealed a limp and a  
5 palpable left hip click. X-ray revealed a "dislocated right hip" and "right dysplastic  
6 acetablulum." A diagnosis of developmental dysplasia of the right hip was made.

7                   74.     On May 9, 1997, the patient was seen by respondent who noted the right  
8 hip dislocation and cast.

9                   75.     On May 23, 1997, the patient was seen again by respondent for her "well-  
10 child" examination. A spine abnormality was marked as present. However, no abnormality was  
11 noted on the extremities exam.

12                  76.     On June 3, 1997, the patient was again seen by respondent with complaint  
13 that her "hip still hurts" and legs and toes cramping. The patient's mother also noted that the  
14 patient will not walk or stand. This was the patient's last visit with respondent.

15                  77.     The patient subsequently underwent three separate surgical operations for  
16 treatment of her condition.

17                  78.     Respondent's care and treatment of patient Ashley S., departed from the  
18 standard of practice, in that:

19                  79.     He failed to appropriately assess the patient's hips;

20                  80.     He failed to diagnose the patient's developmental dysplasia of the hip.

#### 21 22                               **FOURTEENTH CAUSE FOR DISCIPLINE**

##### 23                                       **(Inadequate Record Keeping)**

24                  81.     Respondent is subject to disciplinary action under section 2266 of the  
25 Code in that respondent failed to maintain adequate records in his care and treatment of patient  
26 Ashley. S. The circumstances are as follows:

27                  82.     Complainant incorporates by reference paragraphs 17, 22, 24, and 26  
28 as if fully set forth herein.

1                   83.     Complainant incorporates by reference paragraphs 69-82, as if fully set  
2 forth herein.

3                   84.     On May 2, 2004, respondent's medical records for patient Ashley S. were  
4 sent to the Medical Board of California by the patient's attorney. A comparison of the records  
5 sent by the attorney and certified records submitted by respondent on July 29, 2002, revealed  
6 alterations consisting of the addition of a signature to each one of the notes, which were not  
7 present in the records submitted by the attorney.

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9                                   **FIFTEENTH CAUSE FOR DISCIPLINE**

10                                   **(Alteration of Medical Record)**

11                   85.     Respondent is subject to disciplinary action under section 2262 of the  
12 Code in that respondent altered medical records in this matter. The circumstances are as follows:

13                   86.     Complainant incorporates by reference paragraphs 17, 22, 24, and 26  
14 as if fully set forth herein.

15                   87.     Complainant incorporates by reference paragraph 86, as if fully set forth  
16 herein.

17  
18                                   **SIXTEENTH CAUSE FOR DISCIPLINE**

19                                   **(Gross Negligence)**

20                   88.     Respondent is subject to disciplinary action under section 2234 (b) in that  
21 he committed gross negligence in his care and treatment of patient S.V. The circumstances are  
22 as follows:

23                   A.     On or about January 17, 2005, respondent evaluated female patient S.V.,  
24 who was four months old at the time. Patient S.V. presented with a 105 degree fever and  
25 irritability for 3 days.

26                   B.     Respondent failed to weigh and/or document the weight of patient S.V. on  
27 January 17, 2005.

28                   C.     Failed to adequately document the patient's history.

1 D. Respondent failed to perform and/or document an adequate neurologic  
2 exam.

3 E. Respondent recommended an inadequate dose of Tylenol, 0.4 ml. PO Q4h,  
4 for a baby that weighed 15 pounds 5 ounces six days earlier. The dose should have been 0.8 ml,  
5 twice as much.

6 F. Respondent recommended the infant, who was not vomiting or having  
7 diarrhea, should be taken off breast milk to avoid vomiting.

8 G. Respondent failed to obtain appropriate laboratory tests to rule out  
9 bacterial infection.

10 H. Respondent failed to take adequate measures to exclude a diagnosis of a  
11 serious infection.

12 I. On January 18, 2005, patient S.V. was seen by another physician who  
13 diagnosed her as having a urinary tract infection. A urinalysis collected that day showed greater  
14 than 100,000 organisms/ml of the bacteria escherichia coli.

15 89. Respondent committed gross negligence in his care and treatment of  
16 patient S.V. by failing to obtain appropriate tests to rule out bacterial infection.

17 **SEVENTEENTH CAUSE FOR DISCIPLINE**

18 **(Repeated Negligent Acts)**

19 90. Respondent is subject to disciplinary action under section 2234 (c) in that  
20 he committed repeated negligent acts regarding his care and treatment of patient S.V. as more  
21 fully set forth in Paragraph 88, incorporated by reference, in that respondent:

22 A. Failed to obtain a weight on an obviously ill child in order to compare the  
23 weight with the previous weight obtained 6 days earlier;

24 B. Failed to adequately document patient S.V.'s history;

25 C. Failed to perform and/or document an adequate neurologic exam; and

26 D. Failed to obtain appropriate tests, including, at a minimum, a CBC  
27 (complete blood count), blood culture, and urine culture to rule out bacterial infection.

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1 **EIGHTEENTH CAUSE FOR DISCIPLINE**

2 **(Incompetence)**

3 91. Respondent is subject to disciplinary action under section 2234(d) in that  
4 he demonstrated incompetence in his care and treatment of patient S.V. as more fully set forth in  
5 Paragraphs 88 through 90, which are incorporated by reference.

6  
7 **NINETEENTH CAUSE FOR DISCIPLINE**

8 **(Inadequate and Inaccurate Records)**

9 92. Respondent is subject to disciplinary action under section 2266 in that he  
10 failed to maintain adequate and accurate records in connection with his care and treatment of  
11 patient S.V. as more fully set forth in Paragraphs 88 through 90, incorporated by reference, in  
12 that he:

- 13 A. Failed to adequately and accurately document patient S.V.'s weight;  
14 B. Failed to adequately and accurately document the patient's history; and  
15 C. Failed to adequately and accurately document a physical examination,  
16 specifically a neurologic exam.

17  
18 **TWENTIETH CAUSE FOR DISCIPLINE**

19 **(Unprofessional Conduct)**

20 93. Respondent is subject to disciplinary action under section 2234 of the  
21 Code in that respondent engaged in unprofessional conduct in the care and treatment of multiple  
22 patients.

23 94. Complainant incorporates by reference paragraphs 12 through 35, as if  
24 fully set forth herein; and

25 95. Complainant incorporates by reference paragraphs 69-82, as if fully set  
26 forth herein; and

27 96. Complainant incorporates by reference paragraphs 83-86, as if fully set  
28 forth herein; and



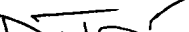
98. Complainant incorporates by reference paragraphs 89-92, as if fully set forth herein.

## PRAYER

WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged, and that following the hearing, the Division issue a decision:

1. Revoking or suspending Physician's and Surgeon's Certificate Number A21748, issued to JAMES I. HONDA, M.D.;
2. Revoking, suspending or denying approval of JAMES I. HONDA, M.D.'s authority to supervise physician's assistants, pursuant to section 3527 of the Code;
3. If placed on probation, ordering JAMES I. HONDA, M.D. to pay the Division the costs of probation monitoring; and
4. Taking such other and further action as deemed necessary and proper.

DATED: August 31, 2006

  
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DAVID T. THORNTON  
Executive Director  
Medical Board of California  
Department of Consumer Affairs  
State of California  
Complainant